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PATENT

Docket No.: 09163-20901

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Allen et al.

Serial No. : 09/929,886 **Group Art Unit** : 3692

Filed : August 15, 2001 **Examiner** : Chencinski

For : ***METHOD AND SYSTEM FOR AUTOMATIC EXECUTION OF A SECURITIES TRANSACTION***

RESPONSE TO ELECTION REQUIREMENT

COMMISSIONER FOR PATENTS
PO Box 1450
Alexandria, VA 22313-1450

Sir:

In response to Examiner's Restriction Requirement dated October 24, 2006, Applicants provisionally elect to pursue prosecution of Invention III (claims 12-24, 42-57 and 87-89). This election is made without traverse.

No extension of time is believed necessary for this response. However, any extension of time which may be required for this response is hereby petitioned. The Commissioner is authorized to charge any fee which may be required for this paper to Deposit Account Number 13-3250, Order No. 09163-20901.

An early and favorable examination on the merits is respectfully requested.

Respectfully submitted,
Milbank, Tweed, Hadley & McCloy, L.L.P.

Chris L. Holm
Reg. No.: 39,227

November 16, 2006

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LA1:#6336781



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CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

COMMISSIONER FOR PATENTS
PO Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Response to Election Requirement (in duplicate); and
2. Return Receipt Postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner For Patents, PO Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,
Milbank Tweed Hadley & McCloy LLP

Chris L. Holm

November 16, 2006

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